SCHEDULE I—EARNED INCOME (INCLUDING HONORARIA)

Name James Oberweis

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List the source, type, and amount of earned income, including honoraria, from any source (other than your current employment by the U.S. Government) totalling \$200 or more during the current year to the filing date and, separately, the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

							Oberweis Daris North Aurora IL	Oberweis Asset Management Liste, 12	Harris County, Texas, Public Schools	XYZ Trade Association, Chicago, IL. (Rec'd 12–2–00)		XYZ Corporation, Houston, Texas	Course (likeling date of fereign or nationalis)	Course (include date of conclut for honoraria)
						_	Spouse Salary	Salary	Spouse Salary	Honorarium	Director's Fee	Salary	Jahre	Tuna
							NA	O	NA	0	\$400	\$6,300	Current Year to Filing	Amount
							NA	£98,863	NA	\$1,000	\$3,200	\$28,450	Preceding Year	ount

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SCHEDUI n = ASSETS AND "INTARATION INCOME

BLOCK A BL	STEDOCE - MOORIO AND ONE WIND INCOM
BLOCK B	TANKE INCO
BLOCK C	
BLOCKD	

year. see the instruction booklet for the reporting state the nature of the business and for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active geographic location. For additional information, business that is not publicly traded, in Block A mutual funds. For a self-directed IRA (i.e., one where you have the power to select the each asset in the account that exceeds the reporting threshold, and the income earned specific investments), provide information on provide an address. Provide full names of any preceding year. For rental property or land, "unearned" income during either the current or income which generated more than \$200 in period, and (b) any other asset or source of exceeding \$1,000 at the end of the reporting production of income with a fair market value Identify (a) each asset held for investment or Asset and/or Income Source D than fair market value, please only because it generated income, If you use a valuation method other at close of reporting period. the value should be "None." If an asset was sold and is included specify the method used. W 0 O Value of Asset m П G I -7 _

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling \$5,000 or less in personal savings accounts: any financial interest in or income derived Government retirement programs. None

asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. If you so choose, you may indicate that an

\$1-\$1,000

\$1,001 - \$15,000

\$15,001 - \$50,000

\$50,001 - \$100,000

\$100,001 - \$250,000

\$250,001 ~ \$500,000

Over \$50,000,000

EXCEPTED TRUST QUALIFIED BLIND TRUST

Other Type of Income

(Specify: For Example, Partnership Income or Farm Income)

DIVIDENDS RENT INTEREST CAPITAL GAINS

\$500,001 - \$1,000,000

\$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000

\$25,000,001 - \$50,000,000

DC, SP.

Examples

Simon & Schuster

Indefinite

Royalties

1st Bank of Paducah, KY account

070

SP Mega Corp. Stock

Type of Income

Name CIMPS OPENIOS

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200						Г			Over \$5,000,000	×		Amount o Amount o Amount o For retirement plans not allow you to investments, you n income. For all other category of incom appropriate box beld if reinvested, should	
$\overline{}$	×	X	×		×				None	=		Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	
				X					\$1-\$200	=		or accounts that do choose specific choose specific may write "NA" for assets, indicate the e by checking the ow. Dividends, even be listed as income	
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\$100,001 - \$1,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000

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For additional assets and unearned income, use next page.

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P. Sources

SCHEDULE II — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name James Oberweis

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Name James Ober Was

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			Date	Identify the date, service; continua efit plan maintain
			Parties To	Identify the date, parties to, and general terms of any agreement or arrangement with service; continuation or deferral of payments by a former or current employer other the effit plan maintained by a former employer.
			Terms of Agreement	Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

SCHEDULE VI-COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law.

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services

SCHEDULE III — LIABILITIES

Name James Oberweis

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automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts only if the balance at the close of the previous calendar year exceeded \$10,000. Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by

						JT DC,	
				Figh Third Bank	Example: First Bank of Wilmington, Delaware	Creditor	
				Mortages on Truck Barn And I've cream Store	Mortgage on 123 Main Street, Dover, Del.	Type of Liability	
					3	\$10,001- \$15,000 @	
						\$15,001— \$50,000	
		100 A			T.	\$50,001 \$100,000	
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SCHEDULE IV — POSITIONS

an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Chairman	Oberwe 15 Grayo
Chairman	Oberweis Asset Munagement
Truste	The Oberweis Funds
Director	Numbers USA
President	The Oberies Foundation

Use additional sheets if more space is required.

SCI	SCHEDULE II — ASSETS AP	ASSETS AND "UNEARNED" INCOME		Name
	BLOCK A	BLOCK B	BLOCK C	всоск р
	Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income
SP.			ī	Current Year Preceding
JT,		None 31 - \$1,000 31,001 - \$15,000 35,001 - \$50,000 350,001 - \$100,000 3100,001 - \$250,000 3250,001 - \$500,000 3500,001 - \$1,000,000 35,000,001 - \$25,000,000 35,000,001 - \$25,000,000 325,000,001 - \$50,000,000 325,000,001 - \$50,000,000 325,000,001 - \$50,000,000 325,000,000	DIVIDENDS RENT NTEREST CAPITAL GAINS EXCEPTED TRUST QUALIFIED BLIND TRUS Other Type of Income (Specify)	1-\$200
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	Note from Tames D. Obovue	X	, K	×
		X	×	
	Varguard Growth Index	×	χ	
	Amap Fund	>	×	
	Growth Fund of America	×	×	X
	Investment a of America	×	*	×
	American Bulanced Fuel	×	*	X
	US Buneurp	×	×	×
L				

For additional assets and unearned income, use next page.